

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

JEANETTE J.,

Plaintiff,

v.

6:19-CV-0795
(ML)

ANDREW SAUL,
Commissioner of Social Security Administration,

Defendant.

APPEARANCES:

Legal Aid Society of Mid-New York, Inc.
Counsel for the Plaintiff
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Syracuse, New York 13202

SOCIAL SECURITY ADMINISTRATION
Counsel for the Defendant
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OF COUNSEL:

ELIZABETH V. KRUPAR, ESQ.

DANIEL S. TARABELLI, ESQ.

MIROSLAV LOVRIC, United States Magistrate Judge

ORDER

Currently pending before the Court in this action, in which Plaintiff seeks judicial review of an adverse administrative determination by the Commissioner of Social Security, pursuant to 42 U.S.C. §§ 405(g) and 1383(c)(3), are cross-motions for judgment on the pleadings.¹ Oral argument was heard in connection with those motions on August 17, 2020, during a telephone

¹ This matter, which is before me on consent of the parties pursuant to 28 U.S.C. § 636(c), has been treated in accordance with the procedures set forth in General Order No. 18. Under that General Order once issue has been joined, an action such as this is considered procedurally, as if cross-motions for judgment on the pleadings had been filed pursuant to Rule 12(c) of the Federal Rules of Civil Procedure.

conference conducted on the record. At the close of argument, I issued a bench decision in which, after applying the requisite deferential review standard, I found that the Commissioner's determination is not supported by substantial evidence, providing further detail regarding my reasoning and addressing the specific issues raised by Plaintiff in this appeal.

After due deliberation, and based upon the Court's oral bench decision, which has been transcribed, is attached to this order, and is incorporated herein by reference, it is

ORDERED as follows:

- 1) Plaintiff's motion for judgment on the pleadings (Dkt. No. 9) is GRANTED.
- 2) The Commissioner's determination that Plaintiff was not disabled at the relevant times, and thus is not entitled to benefits under the Social Security Act, is VACATED.
- 3) This matter is REMANDED to the Commissioner, without a directed finding of disability, for further administrative proceedings consistent with this opinion and the oral bench decision, pursuant to sentence four of 42 U.S.C. § 405(g).
- 4) The Clerk of Court is respectfully directed to enter judgment, based upon this determination, REMANDING this matter to the Commissioner for further administrative proceedings consistent with this opinion and the oral bench decision, pursuant to sentence four of 42 U.S.C. § 405(g) and closing this case.

Dated: August 24, 2020
Binghamton, New York



Miroslav Lovric
United States Magistrate Judge
Northern District of New York

1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF NEW YORK

3 -----
4 JEANETTE J.

5 -versus-

19-CV-795

6 ANDREW M. SAUL, COMMISSIONER OF
7 SOCIAL SECURITY
8 -----

9 TRANSCRIPT OF TELEPHONE CONFERENCE

10 held in and for the United States District Court, Northern
11 District of New York, at the Federal Building, 15 Henry
12 Street, Binghamton, New York, on August 17, 2020, before
13 the HON. MIROSLAV LOVRIC, United States Magistrate Judge,
14 PRESIDING.

15
16 APPEARANCES:

17 FOR THE PLAINTIFF:

18 LEGAL AID SOCIETY OF MID-NEW YORK, INC.

19 BY: ELIZABETH V. KRUPAR, ESQ.

20 Syracuse, New York

21
22 FOR THE DEFENDANT:

23 SOCIAL SECURITY ADMINISTRATION

24 BY: DANIEL TARABELLI, ESQ.

25 Boston, MA

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1 THE COURT: It is my intention now to turn to the
2 Decision and Order that I'm going to issue from this Court.
3 So I first want to start out with a short introduction as it
4 relates to this specific matter.

5 This matter was referred to me for all
6 proceedings and entry of a final judgment pursuant to the
7 Social Security Pilot Program in the Northern District of New
8 York under General Order No. 18. Also in accordance with the
9 provisions of 28 United States Code Section 636(c). Also
10 Federal Rule of Civil Procedure 73. Additionally, Northern
11 District New York Local Rule 73.1 and then lastly by consent
12 of the parties. This action involves judicial review of an
13 adverse determination made by the Commissioner of Social
14 Security pursuant to Title 42 of United States Code Sections
15 405(g) and 1383(c).

16 In this appeal I have reviewed the following
17 materials: One, I have reviewed the Social Security
18 Administrative Record, also called the Transcript. That can
19 be found at Docket No. 8 of this docket. Included in those
20 materials, I have reviewed the Administrative Law Judge's
21 Hearing Decision and the Transcript of oral hearing. Those
22 can be found in the Administrative Transcript which I in this
23 decision will be referring to under the letter of T, as in
24 Thomas. And those items that I reviewed can be found at T.12
25 through 34 and T.35 through 78. I also carefully reviewed

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1 the plaintiff's brief at Docket No. 9 and equally carefully
2 reviewed defendant's brief at Docket No. 11. I also did
3 review the other materials in the docket to become familiar
4 with this matter. Lastly, I have also taken into
5 consideration today's oral arguments presented from both
6 parties in rendering a decision in this matter and in coming
7 to a conclusion.

8 The procedural history of this case is as
9 follows: The plaintiff protectively filed for Supplemental
10 Security Income, known as SSI benefits, on March 3 of 2016
11 alleging disability beginning on November 7 of 2015. See T.
12 at 15. The application was denied initially by a notice
13 dated May 23 of 2016. See T.15 and T.130 through 135. On
14 June 29 of 2016 plaintiff requested a hearing before an
15 Administrative Law Judge, hereinafter I refer to that person
16 as an ALJ. See T.15 and T.137 through 138. The video
17 hearing was held in front of ALJ John G. Farrell on April 9,
18 2018. See T.15, T.35 through 78. Additionally, Thomas
19 Nimberger, a vocational expert, that I may refer to as a VE,
20 also testified at that hearing. At the hearing found at T.35
21 through 78 the ALJ utilized the five-step process for
22 evaluating disability claims. See T.15 through 29, and the
23 ALJ found that plaintiff was not disabled from her
24 application date through the date of the decision of June 15,
25 2018. See T.29, finding at 10. The ALJ determined because

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1 she was capable of performing jobs in the national economy
2 she was therefore not disabled. See T.28, finding 9. See
3 also 20 CFR Section 416.920(a)(4)(i) through (v), describing
4 the steps in the sequential evaluation. See also 20 CFR
5 Section 416.966(b), stating if the claimant can perform work
6 in the national economy, she is not disabled. See also *Frye*
7 *ex rel AO versus Astrue* at 485 Fed Appendix 484 at 486, note
8 1, a Second Circuit 2012 case. In that case stating relevant
9 period in an SSI case is the application date through the
10 date of the decision. On June 15, 2018 the ALJ issued an
11 unfavorable, that being a not favorable decision. See T.12
12 through 34. Plaintiff requested a review of the hearing
13 decision before the Appeals Council on August 6, 2018. See
14 T.255 through 259. On May 31, 2019 the Appeals Council
15 denied the request for review, see T.1 through 6, after which
16 time the Commissioner's determination became final and this
17 appeal followed thereafter.

18 Next I point out the generally applicable law
19 that I'm required to apply in reviewing this matter. First
20 as to the disability standard. To be considered disabled a
21 plaintiff seeking disability insurance benefits or SSI
22 disability benefits must establish that she is unable to
23 engage in any substantial gainful activity by reason of any
24 medically determinable physical or mental impairment which
25 can be expected to result in death or which has lasted or can

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1 be expected to last for a continuous period of not less than
2 12 months. See 42 United States Code Section 1382c(a)(3)(A).
3 In addition, the plaintiff's physical and mental impairment
4 or impairments must be of such severity that he is not only
5 unable to do his previous work but cannot, considering his
6 age, education, and work experience, engage in any other kind
7 of substantial gainful work which exists in the national
8 economy regardless of whether such work exists in the
9 immediate area in which he lives or whether a specific job
10 vacancy exists for him, or whether he would be hired if he
11 applied for work. See 42 United States Code Section
12 1382c(a)(3)(B).

13 The Commissioner uses a five-step process set
14 forth in 20 CFR Sections 404.1520 and 416.920 in order to
15 evaluate disability insurance and SSI disability claims.
16 First, the Commissioner considers whether the claimant is
17 currently engaged in substantial gainful activity. If he is
18 not, the Commissioner next considers whether the claimant has
19 a severe impairment which significantly limits his physical
20 or mental ability to do basic work activities. If the
21 claimant suffers such an impairment, the third inquiry is
22 whether, based solely on medical evidence, the claimant has
23 an impairment which meets or equals the criteria of
24 impairment listed in Appendix 1 of the regulations. If the
25 claimant has such an impairment, the Commissioner will

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1 consider him disabled without considering vocational factors
2 such as age, education and work experience. Assuming the
3 claimant does not have a listed impairment, the fourth
4 inquiry is whether, despite the claimant's severe impairment,
5 he has the residual functional capacity to perform his past
6 work. Finally, if the claimant is unable to perform his past
7 work, the Commissioner then determines whether there is other
8 work which the claimant can perform. See *Berry v Schweiker*
9 at 675 F.2d 464 at 467, Second Circuit case, 1982. See also
10 20 CFR Sections 404.1520 and 416.920. The plaintiff has the
11 burden of establishing disability at the first four steps.
12 However, if the plaintiff establishes that her impairment
13 prevents her from performing her past work, the burden then
14 shifts to the Commissioner to prove the final step.

15 Scope of review in examining this matter is as
16 follows: In reviewing a final decision of the Commissioner,
17 a court must determine whether the correct legal standards
18 were applied and whether the substantial evidence supported
19 the decision. See *Selian versus Astrue* at 708 F.3d at 417.
20 See also *Brault v Social Security Administration Commissioner*
21 at 683 F.3d 443 at 448, Second Circuit 2012 and also see
22 42 USC Section 405(g). Substantial evidence is such relevant
23 evidence as a reasonable mind might accept as adequate to
24 support a conclusion. See *Talavera versus Astrue* at 697 F.3d
25 145 at 151, a Second Circuit 2012 case. It must be more than

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1 a scintilla of evidence scattered throughout the
2 administrative record. However, this standard is a very
3 deferential standard of review, even more so than the clearly
4 erroneous standard. See *Brault*, 683 F.3d at 448.

5 A reviewing Court may not substitute its
6 interpretation of the administrative record for that of the
7 Commissioner, if the record contains substantial support for
8 the ALJ's decision. See *Rutherford V Schweiker*, 655 F.2d 60
9 at 62, Second Circuit 1982. In reviewing a final decision by
10 the Commissioner under 42 USC Section 405, the Court does not
11 determine de novo whether a plaintiff is disabled. See
12 42 USC Sections 405(g) 1383(c)(3), and also see *Wagner v*
13 *Secretary of Health and Human Services* at 906 F.2d 856 at
14 860, a Second Circuit 1990 case. Rather, the Court must
15 examine the Administrative Transcript to ascertain whether
16 the correct legal standards were applied and whether the
17 decision is supported by substantial evidence. See *Shaw v*
18 *Chater*, 221 F.3d 126 at 131, a Second Circuit 2000 case. See
19 *Schaael versus Apfel*, 134 F.3d 496 at 500 to 501, a Second
20 Circuit 1998 case. Substantial evidence is evidence that
21 amounts to more than a mere scintilla and it has been defined
22 as such relevant evidence as a reasonable mind might accept
23 as adequate to support a conclusion. See *Richardson versus*
24 *Perales*, 402 United States 389 at 401, 1971. If supported by
25 substantial evidence, the Commissioner's factual

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1 determinations are conclusive and it is not permitted for the
2 courts to substitute their analysis of the evidence.

3 *Rutherford v Schweiker*, 685 F.2d 60 at 62, Second Circuit
4 1982. In other words, this Court must afford the
5 Commissioner's determination considerable deference, and may
6 not substitute its own judgment for that of the Commissioner,
7 even if it might justifiably have reached a different result
8 upon a de novo review. *Valente versus Secretary of Health*
9 *and Human Services*, 733 F.2d 1037 at 1041, Second Circuit
10 1984.

11 An ALJ is not required to explicitly analyze
12 every piece of conflicting evidence in the record. See
13 *Mongeur v Heckler*, 722 F.2d 1033 at 1040, Second Circuit
14 1983. See also *Miles v Harris*, 645 F.2d 122 at 124, Second
15 Circuit 1981. However, the ALJ cannot pick and choose
16 evidence in the record that supports his conclusions. See
17 *Cruz versus Barnhart*, 343 F. Supp 2d 218 at 224, Southern
18 District of New York 2004. See also *Fuller versus Astrue*,
19 No. 09-CV-6279. It can be found at 2010 Westlaw 5072112
20 at 6. A Western District of New York, December 6, 2010
21 decision.

22 I highlight the following facts in this case:
23 Plaintiff was born on September 10, 1963 and has a high
24 school diploma and completed two years of college. See T.284
25 and T.289. Plaintiff applied for Supplemental Security

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1 Income, also known as SSI, on March 3, 2016. See T.129. She
2 alleged that she was disabled due to a head injury, back
3 problems, including a bulging disc, depression, carpal tunnel
4 syndrome, difficulty seeing and hearing, sleeping problems
5 and issues with concentration and comprehension. See T. at
6 288. For additional facts see the ALJ's decision and
7 transcript of oral hearing at T.12 through 34 and T.35
8 through 78.

9 I now turn to the summary of the ALJ's
10 findings and decisions. I start with, in issuing his
11 decision the ALJ indicated that the claimant has not engaged
12 in substantial gainful activity since March 3, 2016, the
13 application date. See 20 CFR 416.971, et seq. The ALJ
14 continued and stated the claimant has the following severe
15 impairments: Degenerative changes in the cervical spine,
16 degenerative disc disease in the lumbar spine with disc
17 herniation, spondylolisthesis and spondylolysis, post
18 concussive syndrome, attention deficit hyperactivity
19 disorder, major depressive disorder, panic disorder and
20 posttraumatic stress disorder. See 20 CFR 416.920(c).

21 The ALJ further noted the claimant does not
22 have an impairment or combination of impairment that meets or
23 medically equals the severity of one of the listed
24 impairments in 20 CFR Part 404, Subpart P, Appendix 1. See
25 20 CFR 416.920(d) and also 416.925 and lastly 416.926.

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1 The ALJ concluded also that after careful
2 consideration of the entire record, the ALJ found that the
3 claimant has the residual functional capacity to perform
4 light work as defined in 20 CFR 416.967(b), except that
5 claimant can occasionally perform postural activities;
6 claimant can perform simple routine tasks; claimant can
7 occasionally interact with co-workers and the public;
8 claimant can tolerate infrequent workplace changes that are
9 gradually introduced and claimant cannot perform
10 production-paced work.

11 The ALJ went further on to state the claimant
12 is unable to perform any past relevant work, noting 20 CFR
13 416.965. The ALJ further stated the claimant who was born on
14 September 10, 1963 and was 52 years old which is defined as
15 an individual closely approaching advanced age on the date
16 the application was filed, noting 20 CFR 416.963. The ALJ
17 further stated that the claimant has at least a high school
18 education and is able to communicate in English, noting
19 20 CFR 416.964. The ALJ also indicated that transferability
20 of job skills is not material to the determination of
21 disability because using the Medical-Vocational Rules as a
22 framework supports a finding that the claimant is not
23 disabled, whether or not the claimant has transferable job
24 skills. ALJ noted SSR 82-41 and 20 CFR Part 404, Subpart P,
25 Appendix 2.

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1 The ALJ further stated considering the
2 claimant's age, education, work experience and residual
3 functional capacity, there are jobs that exist in significant
4 numbers in the national economy that the claimant can
5 perform. The ALJ noted 20 CFR 416.969 and 416.969(a). The
6 ALJ also stated the claimant has not been under a disability
7 as defined in the Social Security Act since March 3, 2016,
8 the date the application was filed, noting 20 CFR 416.920(g).

9 Lastly, the ALJ, the ALJ's decision and
10 conclusion is that based on the application for Supplemental
11 Security Income protectively filed on March 3, 2016, the
12 claimant is not disabled under Section 1614(a)(3)(A) of the
13 Social Security Act.

14 I turn next to the three issues that I believe
15 are in contention on this appeal: First, the issue of
16 whether the ALJ properly assessed plaintiff's subjective
17 symptoms; second, whether the ALJ properly weighed the
18 medical evidence; and then whether the substantial evidence,
19 whether substantial evidence supports the ALJ's step five
20 finding, specifically whether the ALJ's error in failing to
21 discuss plaintiff's borderline age situation is harmless
22 error.

23 I now turn to the first three issues in
24 contention; that is, whether the ALJ properly assessed
25 plaintiff's subjective symptoms. I find the ALJ did properly

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1 assess the plaintiff's testimony. The ALJ stated that after
2 careful consideration of the evidence, I find that the
3 claimant's medically determinable impairments could
4 reasonably be expected to cause the alleged symptoms. The
5 ALJ further stated, however, I conclude that her statements
6 concerning the intensity, persistence and limiting effects of
7 her symptoms are not fully consistent with the evidence. The
8 ALJ further stated, in making this finding I have considered
9 the factors set forth in 20 CFR 416.929. This can be found
10 at T.21. The ALJ thereafter went on to analyze and conclude
11 that, quote, the medical evidence is not consistent with the
12 severity of symptoms and the degree of limitations that
13 preclude claimant from performing any work or clinical
14 findings, diagnostic tests and the treatment that claimant
15 has received provide a reasonable basis to conclude that
16 claimant has been capable of performing a range of light work
17 since the application date of March 3, 2016. See T.21.

18 The ALJ further observed on October 30, 2015
19 claimant was in a car accident. While she initially
20 exhibited few symptoms, she went to the emergency room the
21 following day complaining of headache and pain in her neck,
22 right shoulder, back, right hip and right foot. The ALJ
23 noted diagnostic testing performed that day was unremarkable.
24 See T.21.

25 The ALJ thereafter methodically and thoroughly

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1 summarized the tests and treatment plaintiff received. See
2 T.21 through 26. At the conclusion of his detailed analysis
3 and summary, the ALJ stated that, quote, based upon my
4 consideration of factors set forth in 20 CFR 416.929, I
5 conclude that claimant's statements concerning the intensity,
6 persistence and limiting effects of her symptoms are not
7 fully consistent with the evidence. The ALJ further stated,
8 the opinion evidence is not consistent with the severity of
9 symptoms and degree of limitations that would preclude
10 claimant from performing any work.

11 Furthermore, the ALJ then analyzed the opinion
12 evidence of Dr. Frye, Dr. Cole, Dr. Santoro and Dr. Bruno.
13 In addition to the extensive analysis performed by the ALJ,
14 the Court was able to glean the rationale of the ALJ's
15 decision. Lastly, this Court finds defendant's arguments on
16 this to be persuasive and also adopts those arguments.

17 A second issue of contention is whether the
18 ALJ properly weighed the medical evidence. This Court finds
19 that the ALJ did properly weigh the opinion of Dr. Frye for
20 the reasons stated in defendant's brief and memorandum of
21 law. I find that argument in the defendant's brief to be
22 persuasive and I do adopt it. I also find that the ALJ did
23 not commit an error as to this issue. Additionally, a
24 searching review of the record assures this Court that the
25 medical evidence was properly weighed. The ALJ didn't adopt

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1 a portion of Dr. Frye's opinion because it conflicted with
2 medical evidence the ALJ mentioned in the paragraphs
3 immediately preceding his discussion of Frye's opinion, which
4 was the same evidence he used to discount plaintiff's
5 subjective symptoms. See T.21 through 26. See also *Fifer v*
6 *Commissioner of Social Security*, No. 14-14584, that can be
7 found at 2016 Westlaw 1399254 at 2, an Eastern District of
8 Michigan, April 11, 2016 case. Wherein it states,
9 explanation must be viewed against the backdrop of the
10 discussion of the treating records that preceded it. I point
11 out that this discussion viewed as a whole is not so obscure
12 as to make the judicial review contemplated by the Social
13 Security Act a perfunctory process. See *Colorado Interstate*
14 *Gas Company v Federal Power Commissioner*, 324 US 581 at 595,
15 1945, finding that the path which the agency followed can be
16 discerned even though its findings were quite summary and
17 incorporated by reference the evidence upon which it relied.
18 See *Rice v Barnhart*, 384 F.3d 363 at 370, note 5, Seventh
19 Circuit 2004. It is proper to read the ALJ's decision as a
20 whole and it would be a needless formality to have the ALJ
21 repeat substantially similar factual analyses. Based on all
22 of that, I do find the ALJ properly weighed the opinion of
23 Dr. Frye.

24 I next turn to the last issue of contention in
25 this case and that is whether the substantial evidence

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1 supports the ALJ's step five finding. At step five of the
2 disability analysis the burden shifts to the ALJ to
3 demonstrate that there is other work in the national economy
4 that plaintiff can perform. See *Poupore versus Astrue*, 566
5 F.3d 303 at 306, Second Circuit 2009. If the ALJ utilizes a
6 vocational expert, also known as a VE, at this hearing, the
7 VE is generally questioned using a hypothetical question that
8 incorporates plaintiff's limitations. See *Aubeuf v*
9 *Schweiker*, 649 F.2d 107 at 114, Second Circuit 1981. The ALJ
10 may rely on a VE's testimony regarding the availability of
11 work as long as the hypothetical facts the expert is asked to
12 consider are based on substantial evidence and accurately
13 reflect the plaintiff's limitations. See *Calabrese v Astrue*,
14 385 Fed Appendix 274 at 276, Second Circuit 2009. Where the
15 hypothetical is based on an RFC analysis supported by
16 substantial facts, the hypothetical is proper.

17 At step five the ALJ had to demonstrate that
18 plaintiff was capable of performing jobs that existed in
19 significant numbers in the national economy. See 20 CFR
20 Section 404.1520(a)(4)(v). A borderline age situation exists
21 in this case. The regulations direct that the age category
22 that applies to a plaintiff during the period for which she
23 claims disability be used to determine whether or not
24 plaintiff is disabled. See *Pennock versus Commissioner of*
25 *Social Security*, No. 7:14-CV-1524. That can be found at 2016

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1 Westlaw 1128126 at 10, a Northern District of New York,
2 February 23, 2016 Report and Recommendation adopted by 2016
3 Westlaw 1122065, Northern District New York, March 22, 2016,
4 and that case citing 20 CFR Sections 404.1563(b) and
5 416.936(b). The regulations make clear, however, that the
6 age categories are not to be applied mechanically in a
7 borderline situation such as where a claimant is within a few
8 days or months of obtaining an older age category and using
9 the older age category would result in a determination or
10 decision that the plaintiff is disabled. See *Pennock*, 2016
11 Westlaw 1128126 at 10, citing therein 20 CFR Sections
12 404.1563(b) and 416.936(b). Courts within the Second Circuit
13 have concluded that three months constitutes the outer limits
14 of a few months for the purposes of borderline age. See
15 *Pennock*, 2016 Westlaw 1128126 at 11, collecting cases
16 regarding periods of time that were found to be borderline or
17 not, but see also *Kathy H v Commissioner of Social Security*,
18 19-CV-0684. That can be found at 2020 Westlaw 3960846 at
19 pages 12 through 13, and that is a Northern District of New
20 York, July 13, 2020 case issued by Magistrate Judge Baxter,
21 concluding that consistent with agency guidance and several
22 other District Court cases from within the Second Circuit,
23 that up to six months from the next age category may be
24 borderline. In evaluating whether to apply the older age
25 category the agency considers the overall impact of all the

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1 factors in your case. See 20 CFR Sections 404.1563(b) and
2 416.963(b). If a claimant's age is borderline and the ALJ
3 fails to consider whether the higher age category should be
4 used, remand is warranted so long as a higher age category
5 would entitle the claimant to benefits. See *Woods v Colvin*,
6 218 Fed Supp 3d 204 at 207, Western District New York, 2016.
7 See also *Koszuta v Colvin*, No. 14-CV-0694. That can be found
8 at 2016 Westlaw 824445 at 2, a Western District New York,
9 March 3, 2016 case and standing for the proposition that
10 finding remand appropriate where the ALJ failed to consider
11 the borderline age situation, which would have required him
12 to consider and make additional findings on issues such as
13 transferability of work skills in order to determine whether
14 plaintiff was disabled. See also *Jerome versus Astrue*,
15 No. 2:08-CV-0098. That can be found at 2009 Westlaw 3757012,
16 at 13, a District of Vermont, November 6, 2009 case standing
17 for the proposition, finding the ALJ's mechanical application
18 of the Medical-Vocational Guidelines unsupported by
19 substantial evidence where he failed to consider whether a
20 borderline age situation existed.

21 As to the borderline age issue in this matter,
22 the ALJ in his written decision stated that the claimant was
23 an individual closely approaching advanced age on the date
24 the application was filed. See T.27. The ALJ in this case
25 said nothing more about this borderline age situation and

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1 conducted no further analysis in this case. At the time of
2 the ALJ's decision claimant was two months and twenty-six
3 days away from her 55th birthday which would take her into
4 the advanced age, which would take her into the advanced age
5 grid category. This is within the Second Circuit's three
6 month rule or six month rule with cases that I previously
7 mentioned within the Second Circuit courts.

8 In considering the grids, the ALJ used an RFC
9 of light work. The ALJ then considered Medical-Vocational
10 Rule 202.14, finding that the grids would dictate a finding
11 of not disabled if plaintiff had the RFC to perform a full
12 range of light work.

13 Rule 202.14 for a light work RFC assumes a
14 person closely approaching advanced age with a high school or
15 more education, and skilled or semi-skilled with the skills
16 not transferable, which dictates a not disabled finding. See
17 CFR Part 404, Subpart P, Appendix 202.14.

18 However, if one considers that the same
19 individual is of advanced age, there are two potentially
20 relevant rules. The first assumes an individual with a high
21 school or more education that does not provide for entry into
22 skilled work, and skilled or semi-skilled but skills not
23 transferable. See 202.06. This rule dictates a finding of
24 disabled.

25 The second rule assumes an individual with a

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1 high school or more education that does provide for entry
2 into skilled work, and skilled or semi-skilled but not
3 transferable. See 202.08. This rule dictates a finding of
4 not disabled.

5 The ALJ never specifically considered whether
6 plaintiff was close enough to the next age category on her
7 date last insured to use the advanced age grid. Because
8 there was no consideration of whether to use the advanced age
9 category, there was also no consideration of whether
10 Rule 202.06 or 202.08 would have applied to plaintiff in this
11 case. If Rule 202.06 applied, the grid would, the grid would
12 have dictated a finding of disability even without the VE's
13 evidence.

14 In light of the ALJ's failure to consider
15 whether a borderline age situation existed in plaintiff's
16 case, in this case I find that remand is appropriate. See
17 *Spease v Saul*, 220 Westlaw 3566902 at 10, District of
18 Connecticut, July 1, 2020 case. Concluding that because the
19 ALJ, because the ALJ failed to consider whether a borderline
20 age situation existed in the plaintiff's case, remand was
21 appropriate. See also *Goncalves versus Berryhill*,
22 17-CV-1830. That can be found at 2018 Westlaw 6061570 at 6,
23 a District of Connecticut, November 20, 2018 case, and in
24 that case concluding the same and remanding to the ALJ for
25 proper consideration of claimant's borderline age situation.

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1 Further, see *Johnson versus Berryhill*, 17-CV-1651. That's
2 found at 2019 Westlaw 1430242 at 12, District of Connecticut,
3 March 29, 2019 case and therein remanding for the ALJ to
4 consider whether a borderline age situation existed in the
5 first place. Also, see *Amato versus Berryhill*, 16-CV-6768.
6 That can be found at 2019 Westlaw 4175049 at 5, a Southern
7 District New York, September 3, 2019 case and therein
8 remanding because, quote, given the fact that the ALJ
9 explicitly failed to consider Amato's borderline age
10 situation, this Court cannot complete this review without
11 some showing as to the Commissioner's consideration of
12 applying the higher age category which she indisputably is
13 required to do, end of quote.

14 I will thus, in this particular case, remand
15 this case to the ALJ to consider whether a borderline age
16 situation exists and, if so, to determine under the
17 circumstances of this case whether to use plaintiff's
18 chronological age or to evaluate her using the next higher
19 age category.

20 It is therefore my decision based on the
21 findings as set forth herein on the record that plaintiff's
22 motion for judgment on the pleadings, Docket No. 9, is
23 granted. The Commissioner's motion for judgment on the
24 pleadings, Docket No. 11, is denied and I am remanding this
25 matter to the Commissioner for further administrative

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1 proceedings consistent with this opinion and decision
2 pursuant to sentence four of Title 42 United States Code
3 Section 405(g).

4 All right. That constitutes the Court's
5 decision. As I indicated, I will issue a short order and I
6 will attach in the docket, with that order, the transcript of
7 only my Decision and Order as I have just rendered on the
8 record and delivered on the record.

9 Ms. Krupar, is there anything else from the
10 plaintiff?

11 MS. KRUPAR: No, your Honor. Thank you for your
12 time.

13 THE COURT: Okay. Mr. Tarabelli?

14 MR. TARABELLI: No. Thank you, your Honor.

15 THE COURT: All right. Thank you both. As I
16 indicated earlier, thank you both for excellent briefs and
17 very good arguments and the Court will stand adjourned and
18 have a great rest of the week and be safe and healthy out
19 there. Thank you both.

20 MS. KRUPAR: Thank you.

21 THE COURT: All right.

22 (Court stands adjourned)
23
24
25

CERTIFICATE OF OFFICIAL REPORTER

I, VICKY A. THELEMAN, Federal Official
Realtime Court Reporter, in and for the United
States District Court for the Northern District of
New York, do hereby certify that pursuant to Section
753, Title 28 United States Code that the foregoing
is a true and correct transcript of the
stenographically reported proceedings held in the
above-entitled matter and that the transcript page
format is in conformance with the regulations of the
Judicial Conference of the United States.

/s/ Vicky A. Theleman

VICKY A. THELEMAN, RPR, CRR

US District Court - NDNY

Dated: August 21, 2020.